


<b>P23808.P01 UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. <b>P23808</b>	Total Pages	22278 U.S. PTO <b>10/6636643</b> 09/17/03
Inventor(s) or Application Identifier: <b>Shuichi TAKEUCHI, and Daisuke KOREEDA</b> Title: <b>SCANNING OPTICAL SYSTEM</b>			
ADDRESS TO: <b>Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450</b>			
<b>APPLICATION ELEMENTS</b>	<b>ACCOMPANYING APPLICATION PARTS</b>		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)  2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s)  3. <input checked="" type="checkbox"/> Specification [Total Pages <u>48</u> ] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>13</u> ]  5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies  10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)  12. <input type="checkbox"/> English Translation Document (if applicable)  13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  14. <input type="checkbox"/> Preliminary Amendment  15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>8</u>  17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)  18. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation, of Tokyo, Japan</u>  19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> _____ _____ _____ _____ _____		
20. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____. Prior application information: Examiner: _____ Group Art Unit: _____			
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a ___ continuation-in-part, ___ continuation, ___ divisional, of Application No. _____/_____, filed _____.			

Address all future correspondence to **Customer No. 7055** at the present address of:

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Date

9/17/03

  
 Signature  
Bruce H. Bernstein, Reg. No. 29,027  
 Typed or Printed Name  
 33,329

## FEE TRANSMITTAL

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Shuichi TAKEUCHI et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	P23808

TOTAL AMOUNT OF PAYMENT (\$844.00)

## METHOD OF PAYMENT (check one)

1. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

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Deposit Account Name GREENBLUM &amp; BERNSTEIN, P.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- ☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (fees effective 01/01/03)

## 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 750.00

## 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
23 -20=	3	x 18 =	54.00
Independent Claims 2 -3=	0	x 84 =	0.00
Multiple Dependent Claims 0	x 280 =		0.00

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 54.00

## SUBMITTED BY

Typed or Printed Name Bruce H. Bernstein

Signature

*Bruce H. Bernstein*  
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Date

9/17/03

## Complete (if applicable)

Reg. Number

29,027

Deposit Account User ID

P23808.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shuichi TAKEUCHI et al.

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : SCANNING OPTICAL SYSTEM

**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicants' Japanese representative on September 16, 2003, and pages 1 - 48 of the specification (with Figures 1 - 23) which were earlier forwarded to the undersigned by Applicants' Japanese representative on September 11, 2003. Further, the undersigned has been advised by the Japanese representative that the inventors had reviewed the application before executing the declaration.

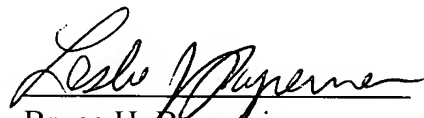
Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

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Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,  
Shuichi TAKEUCHI et al.

  
Bruce H. Bernstein  
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September 16, 2003  
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